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# CSF evidence of pericyte damage in Alzheimer's disease is associated with markers of blood-brain barrier dysfunction and disease pathology

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## Abstract

**Background:** We aimed to assess the relationship between levels of a cerebrospinal fluid (CSF) marker of pericyte damage, soluble platelet-derived growth factor receptor  $\beta$  (sPDGFR $\beta$ ) and CSF markers of blood-brain barrier (BBB) integrity (CSF albumin and CSF/serum albumin ratio) and disease pathology (reduced CSF A $\beta$ 42 and elevated CSF total and phosphorylated tau) in Alzheimer's disease (AD).

**Methods:** sPDGFR $\beta$  and albumin were measured by sandwich ELISA in ante-mortem CSF from 39 AD and 39 age-matched controls that were grouped according to their biomarker profile (i.e. AD cases t-tau > 400 pg/mL, p-tau > 60 pg/mL and A $\beta$ 42 < 550 pg/mL). sPDGFR $\beta$  was also measured in matched serum and CSF samples ( $n = 23$ ) in a separate neurologically normal group for which the CSF/serum albumin ratio had been determined.

**Results:** CSF sPDGFR $\beta$  level was significantly increased in AD ( $p = 0.0038$ ) and correlated positively with albumin ( $r = 0.45$ ,  $p = 0.007$ ), total tau ( $r = 0.50$ ,  $p = 0.0017$ ) and phosphorylated tau ( $r = 0.41$ ,  $p = 0.013$ ) in AD but not in controls. CSF sPDGFR $\beta$  did not correlate with A $\beta$ 42. Serum and CSF sPDGFR $\beta$  were positively correlated ( $r = 0.547$ ,  $p = 0.0085$ ) in the independent neurologically normal CSF/serum matched samples.

**Conclusions:** We provide further evidence of an association between pericyte injury and BBB breakdown in AD and novel evidence that a CSF marker of pericyte injury is related to the severity of AD pathology.

**Keywords:** Platelet-derived growth factor receptor  $\beta$ , PDGFR $\beta$ , Cerebrospinal fluid, CSF, CSF albumin, Alzheimer's disease

## Background

Alzheimer's disease (AD) and vascular dementia (VaD) together account for most cases of dementia. Cerebral hypoperfusion, neurovascular uncoupling and blood-brain barrier (BBB) breakdown are defining features of VaD, but there is now compelling evidence they are also major contributors to cognitive decline and disease pathology in the early stages of AD (reviewed in [1, 2]). Pericytes are a heterogeneous population of mural cells that

are highly enriched within the brain where they regulate blood flow and maintain vascular homeostasis. Histological and biochemical assessment of post-mortem brain tissue has revealed significant pericyte loss in AD, associated with a reduction in the pericyte marker platelet-derived growth factor receptor  $\beta$  (PDGFR $\beta$ ) [3, 4].

PDGFR $\beta$  is shed from human pericytes (but not vascular smooth muscle cells) when they are cultured under hypoxic conditions (1% oxygen for 48 h) or exposed to A $\beta$  peptides [5]. Cell membrane shedding of PDGFR $\beta$  was shown to be mediated by ADAM10 cleavage [6]. Elevation of the level of soluble PDGFR $\beta$  (sPDGFR $\beta$ ) in the CSF was associated with evidence on neuroimaging of BBB leakiness within the hippocampus in normal

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ageing and in mild cognitive impairment [7]. Membrane shedding of PDGFR $\beta$  from injured pericytes explains the inverse relation between the loss of pericytes and reduction in PDGFR $\beta$  in the brain tissue and the elevation of sPDGFR $\beta$  in the CSF in AD.

Nation et al. [6] reported increased CSF sPDGFR $\beta$  and regional BBB leakiness in pre-clinical AD and reported that CSF sPDGFR $\beta$  predicted cognitive decline independently of CSF A $\beta$  or tau level. The findings are in keeping with data from PDGFR $\beta$ -deficient mice [8], indicating that pericyte loss and BBB leakiness contribute to cognitive decline and AD pathology. In the present study, we sought to confirm the elevation of CSF sPDGFR $\beta$  and association with BBB leakiness in AD and to assess the relationship to markers of disease pathology, i.e. reduced A $\beta$ 42 and elevated total tau (t-tau) and phosphorylated tau (p-tau).

## Methods

### Study cohort

CSF sPDGFR $\beta$  and albumin were measured in 39 AD cases and 39 controls for which CSF t-tau, p-tau<sub>181P</sub> and A $\beta$ 42 (Cat no 81583, 81551 and 81576 respectively) had previously been determined using commercially available sandwich enzyme-linked immunosorbent assays (ELISAs) (INNOTEST, Fujirebio, Ghent, Belgium). All AD patients had abnormal CSF levels of the core AD biomarkers (t-tau > 400 pg/mL, p-tau > 60 pg/mL and A $\beta$ 42 < 550 pg/mL), while controls had normal levels (t-tau =  $234.67 \pm 11.42$ ; p-tau  $40.28 \pm 1.58$ ; A $\beta$ 42  $834.18 \pm 32.67$ ). The cut-off values used in this study are in line with current clinical practice and closely resemble those outlined by Hansson et al. [9]. The mean ages were 76.21 years in the AD cases (SD 6.11) and 68.21 years in the controls (SD 11.96). The proportions of males and females were similar in the AD (25M, 14F) and control (23M, 16F) cohorts. The demographic characteristics for each cohort are summarised in Table 1. The present assays were performed on de-identified left-over aliquots from clinical diagnostic CSF samples and followed the Swedish Biobank law (Biobanks in Medical Care Act) and procedures approved by the Ethical Committee at University of Gothenburg. Cognitive data and APOE status were not recorded, and matching serum samples were not available for this cohort.

sPDGFR $\beta$  was, however, also measured in an independent CSF and matched serum sample cohort from neurologically normal controls ( $n = 23$ ) spanning a larger

age range (23–84 years). The CSF/serum albumin ratio had previously been determined by an immunoturbidimetric albumin method on Elecsys (Roche Diagnostics, Penzberg, Germany). The demographics of this cohort are presented in Table 2.

### sPDGFR $\beta$ measurement in CSF and serum by sandwich ELISA

CSF and serum sPDGFR $\beta$  levels were measured by sandwich ELISA (Invitrogen Cat no EHPDGFRB, Thermo Fisher Scientific, Loughborough, UK). CSF samples (100  $\mu$ L undiluted) and serum (diluted 1 in 40 in proprietary dilution buffer supplied with the kit) were loaded. Standards, samples and blanks were added in duplicate. Absorbance was read at 450 nm in a FLUOstar OPTIMA plate reader (BMG labtech, Aylesbury, UK). Reproducibility reported in the datasheet indicates an inter-assay CV < 12% and intra-assay < 10%, with spike recovery between 90 and 110% for serum, plasma and cell culture media. PDGFR $\beta$  concentration in samples was calculated by interpolation against the standard curve for each case, derived from serial dilutions of recombinant PDGFR $\beta$  (18,000–24 pg/mL). The mean values from duplicate measurements are presented.

### Albumin measurement in CSF by sandwich ELISA

CSF albumin level was measured by sandwich ELISA (Cat no 108788) (Abcam, Cambridge, UK). CSF samples were diluted 1 in 2000 in proprietary dilution buffer supplied with the kit. Standards, samples and blanks were added in duplicate. Absorbance was read at 450 nm in a FLUOstar OPTIMA plate reader (BMG labtech, Aylesbury, UK). Albumin concentration in each sample was interpolated from a standard curve derived from serial dilution of recombinant human albumin (200–3.125 ng/mL). The mean values are presented.

### Statistical analysis

As the CSF sPDGFR $\beta$  and albumin levels were normally distributed, unpaired two-tailed  $t$  tests or ANOVA with Bonferroni post hoc correction was used for comparisons between groups, and Pearson's test was used to assess linear correlation. Data values outside the 99% confidence interval of the linear regression line were considered to be outliers and removed prior to statistical analysis. The analyses used SPSS version 16 (SPSS, Chicago) and GraphPad Prism version 6 (GraphPad Software, La Jolla, CA).  $p$  values < 0.05 were considered statistically significant.

**Table 1** Summary demographics of the AD/control cohort

	<i>n</i>	Gender (M:F)	Age (mean years $\pm$ SD)	A $\beta$ 42 (mean ng/L $\pm$ SEM)	p-tau (mean ng/L $\pm$ SEM)	t-tau (mean ng/L $\pm$ SEM)
Control	39	23:16	68.2 $\pm$ 11.9	834.18 $\pm$ 32.67	40.28 $\pm$ 1.58	234.67 $\pm$ 11.42
AD	39	25:14	76.2 $\pm$ 6.11	435.18 $\pm$ 11.88	84.79 $\pm$ 3.21	725.69 $\pm$ 36.31

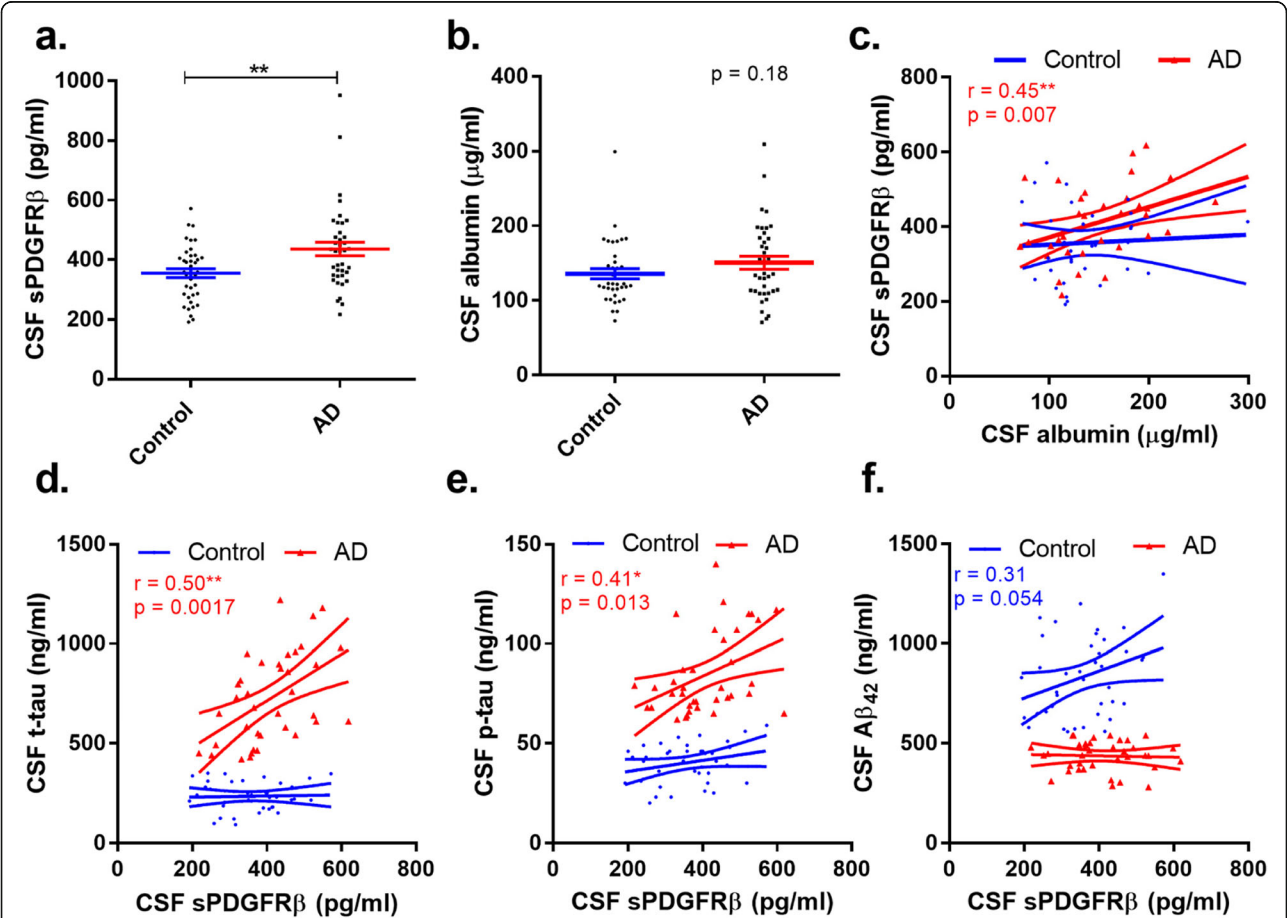
**Table 2** Summary demographics of the independent neurologically normal CSF/serum matched cohort

	<i>n</i>	Gender (M:F)	Age (mean years ± SD)	Albumin ratio
CSF/serum cohort	23	12:11	58.13 ± 20.25	9.04 ± 1.19

Results

CSF sPDGFRβ levels were significantly higher in AD than in controls (mean 426.7 pg/mL ± SD 20.9 in AD v 355.6 pg/mL ± 14.9 in controls) ( $p = 0.0038$ ) (Fig. 1a). Mean CSF albumin level was higher in AD than in controls, but the difference did not reach statistical significance (150.1 μg/mL ± 8.6 in AD v 135.6 μg/mL ± 6.9 in controls) (Fig. 1b). CSF sPDGFRβ correlated with albumin in the AD cohort ( $r = 0.45$ ,  $p = 0.007$ ) but not in controls ( $r = 0.059$ ,  $p = 0.74$ ) (Fig. 1c). Inspection revealed two obvious outliers that were both well outside the 99% confidence interval of the linear regression line and were excluded from analysis.

CSF sPDGFRβ correlated positively with t-tau in AD ( $r = 0.50$ ,  $p = 0.0017$ ) but not in controls ( $r = 0.03$ ,  $p = 0.83$ ) (Fig. 1d), and with p-tau in AD ( $r = 0.41$ ,  $p = 0.013$ ) but not in controls ( $r = 0.26$ ,  $p = 0.10$ ) (Fig. 1e). CSF sPDGFRβ did not correlate with the CSF Aβ42 level in the control cohort ( $r = 0.31$ ,  $p = 0.054$ ) or AD cohort ( $r = -0.04$ ,  $p = 0.80$ ) (Fig. 1f). The control and AD groups did not differ significantly according to age, and sPDGFRβ did not vary with age within the controls ( $r = 0.10$ ,  $p = 0.54$ ) or AD ( $r = 0.29$ ,  $p = 0.077$ ) groups (Additional file 1: Figure S1a). CSF sPDGFRβ did not differ between gender in the controls or AD cohort (Additional file 1: Figure S1b).



**Fig. 1** CSF soluble PDGFRβ level is increased in Alzheimer's disease (AD) in relation to CSF markers of BBB leakiness (CSF albumin) and disease pathology (elevated total tau (t-tau) and phosphorylated tau (p-tau)). **a** Dot plot showing significantly higher levels of CSF sPDGFRβ in AD compared to controls ( $n = 39$  per group) ( $p = 0.0038$ ). **b** Dot plot showing CSF albumin level in the AD and controls ( $p = 0.18$ ). **c** Scatterplots showing positive correlation between CSF sPDGFRβ and CSF albumin in AD. **d-f** Scatterplots showing a positive correlation between CSF sPDGFRβ and t-tau and p-tau but not with Aβ42 in AD. In **a** and **b**, the bars represent the mean ± SEM. In **c-f**, the best-fit linear regression line is shown and 95% confidence intervals are superimposed. Each dot represents an individual sample.  $p < 0.05$  was considered statistically significant

We also measured sPDGFR $\beta$  in a separate non-AD cohort of matched CSF and serum sample from neurologically healthy individuals. CSF and serum sPDGFR $\beta$  level were positively correlated ( $r = 0.547$ ,  $p = 0.0085$ ) (Fig. 2a). CSF sPDGFR $\beta$  did not correlate with the CSF/serum albumin ratio (Fig. 2b).

## Discussion

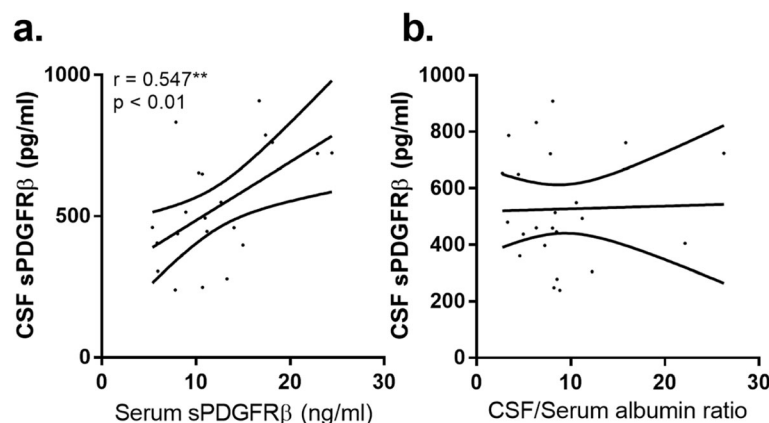
We have confirmed that CSF sPDGFR $\beta$  is increased in AD, to an extent that correlates with CSF albumin level (a marker of BBB leakiness) and with the neurodegeneration biomarkers CSF t-tau and p-tau. We have also shown that the level of sPDGFR $\beta$  in CSF correlates with that in serum in an independent cohort of matched CSF/serum samples. Our findings support recent reports of pericyte injury and BBB leakiness in AD, as demonstrated in histological and biochemical studies of autopsy tissue [4] and in biomarker and imaging studies in AD patients [7]. Nation et al. recently reported that elevated CSF sPDGFR $\beta$  was associated with cognitive decline independent of the levels of A $\beta$ 42 and tau in the early stages of AD [6]. Here we have shown that in an AD cohort, elevated sPDGFR $\beta$  correlated with albumin, a CSF marker of BBB leakiness, and with t-tau and p-tau levels but not with A $\beta$ 42. Our novel finding of a correlation between sPDGFR $\beta$  levels in the serum and CSF raises the possibility of using serum sPDGFR $\beta$  measurements to track the progression of pericyte injury and BBB breakdown in AD.

Post-mortem studies have confirmed pericyte loss and BBB leakiness in AD [3, 10]. Reduction in PDGFR $\beta$  is associated with biochemical evidence of cerebral hypoperfusion and BBB breakdown and with A $\beta$ 42 level in post-mortem tissue [4]. The reduction in PDGFR $\beta$  is most pronounced in brains from APOE  $\epsilon$ 4-positive AD

patients [3, 4]. Pdgfr $\beta^{F7/F7}$  mice, which have disrupted PDGFR $\beta$  signalling, have a more pronounced vascular phenotype associated with vascular loss and BBB leakiness [11], and when Pdgfr $\beta^{+/-}$  heterozygous mice were crossed with Tg-APP mice, there was accelerated A $\beta$  accumulation and clinical disease progression [8], supporting recent studies indicating that vascular dysfunction is one of the earliest pathological features in pre-clinical AD [12].

Compromised BBB integrity that can be demonstrated in the hippocampus in normal ageing and is exacerbated in MCI, as revealed by advanced dynamic contrast-enhanced MRI, is associated with elevated CSF sPDGFR $\beta$  [7]. Nation et al. reported that elevated CSF sPDGFR $\beta$  in mild cognitive impairment was related to BBB integrity but was independent of CSF A $\beta$ 42 or tau [6]. Elevated CSF sPDGFR $\beta$  likely reflects release of a soluble fragment of pericyte PDGFR $\beta$ , due to its cleavage by ADAM-10, as was shown in vitro in response to simulated ischemia or A $\beta$  peptide [5, 6]. Here we show that elevation of CSF sPDGFR $\beta$  in AD is associated with BBB leakiness but also correlates with established CSF markers of AD progression, i.e. elevated CSF t-tau and p-tau, although as in the Nation et al. study we did not detect a direct relationship between CSF sPDGFR $\beta$  and A $\beta$ 42. Larger cohort studies are needed to assess the value of elevated sPDGFR $\beta$  in CSF, and perhaps even serum, as a marker of progressive cognitive dysfunction and the development of AD.

The mechanisms of pericyte injury in AD remain unclear, but both A $\beta$  and hypoperfusion are probably contributors. A $\beta$  peptides at supraphysiological concentrations were shown to be toxic to human brain pericytes [13], dependent on APOE genotype [14]. The toxic effects are probably related to the conformational assembly and species of A $\beta$



**Fig. 2** Relationship between CSF and serum sPDGFR $\beta$  and the CSF/serum albumin ratio in an independent neurologically normal cohort ( $n = 23$ ) of matched CSF and serum. **a** Scatterplot showing a positive correlation between serum and CSF sPDGFR $\beta$ . **b** Scatterplot showing no relationship between CSF sPDGFR $\beta$  and the CSF/serum albumin ratio. The best-fit linear regression line is shown and 95% confidence intervals are superimposed. Each dot represents an individual sample.  $p < 0.05$  was considered statistically significant



[15]. In a bilateral carotid artery stenosis (BCAS) model that mimics chronic cerebral hypoperfusion, pericyte loss and BBB dysfunction in the corpus callosum preceded white matter injury and cognitive decline [16]. The precise regional distribution and timing of pericyte injury in AD, particularly in relation to other pathological manifestations of the disease, has still to be determined.

## Conclusions

This study has several limitations including the small number of AD cases and controls and the paucity of clinical details relating to the de-identified AD cases, such as dementia severity, disease duration and *APOE* genotype, that would be of interest for further analysis. This is also an observational cross-sectional study and does not provide clues as to the timing and regional changes in pericyte loss in relation to onset and progression of disease. The extent of vascular burden within our cohort is also unclear, and as in other clinical studies, it is likely to include a spectrum of AD and mixed dementia cases. Other variables that related to the collection of the samples and might theoretically impact on the measurements (e.g. time of day, needle type) were also not available for analysis. Nonetheless, our data together with recent studies suggest that markers of vascular dysfunction, including pericyte loss and BBB leakiness, are related to cognitive impairment in MCI and disease pathology in AD and can potentially be monitored by analysis of CSF and possibly also serum. The inclusion of vascular biomarkers as part of an AD research framework, as suggested by Sweeney and colleagues [17], would improve our understanding of AD pathophysiology and may prove useful to identify those AD patients for whom tailored therapies to reduce vascular burden may offer a more effective treatment.

## Additional file

**Additional file 1: Figure S1** CSF-sPDGFR $\beta$  is not altered in relation to age or gender. (a) Scatterplot showing no statistically significant relationship between CSF-sPDGFR $\beta$  level and age in AD (red) and control (green). The best-fit linear regression line and 95% confidence interval for each group are superimposed. (b) Bar chart showing CSF-sPDGFR $\beta$  level in control and AD group stratified for gender. No significant differences were observed. Bars represent the mean  $\pm$  SEM. (DOCX 209 kb)

## Abbreviations

AD: Alzheimer's disease; A $\beta$ 42: Amyloid-beta 1–42; BBB: Blood-brain barrier; CSF: Cerebrospinal fluid; PDGFR $\beta$ : Platelet-derived growth factor receptor beta; p-tau: Phosphorylated tau; sPDGFR $\beta$ : Soluble platelet-derived growth factor receptor beta; t-tau: Total tau

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## Authors' contributions

JSM, PK, SL, HZ and KB were responsible for the conception and design of the experiments; HZ and KB provided the CSF and serum clinical samples and provided measures of t-tau, p-tau, A $\beta$ 42 and CSF/serum albumin; JSM was responsible for the acquisition of analysis of the data; JSM drafted the paper; all authors revised and edited the final article for intellectual content and final approval.

## Funding

This work was not supported by any specific research funding.

## Availability of data and materials

All data generated or analysed during this study are included in this published article [and its supplementary information files].

## Ethics approval and consent to participate

The clinical CSF samples consisted of de-identified left-over aliquots from CSF samples from clinical diagnostic routine, following the Swedish Biobank law (Biobanks in Medical Care Act) and procedures approved by the Ethical Committee at University of Gothenburg.

## Consent for publication

All participants have previously provided consent for CSF samples to be used for research.

## Competing interests

KB has served as a consultant or at advisory boards for Alector, Alzheon, CogRx, Biogen, Lilly, Novartis and Roche Diagnostics and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Venture-based platform company at the University of Gothenburg, all unrelated to the work presented in this paper.

HZ has served at scientific advisory boards for Wave, Samumed, CogRx and Roche Diagnostics and is a co-founder of Brain Biomarker Solutions in Gothenburg AB, a GU Ventures-based platform company at the University of Gothenburg, all unrelated to the work presented in this paper. SM, PK and SL declare that they have no competing interests.

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